

# **Soft Response Generation and Thresholding Strategies for Linear and Feed-Forward MUX PUFs**

**Chen Zhou, Saroj Satapathy, Yingjie Lao,  
Keshab K. Parhi and Chris H. Kim**

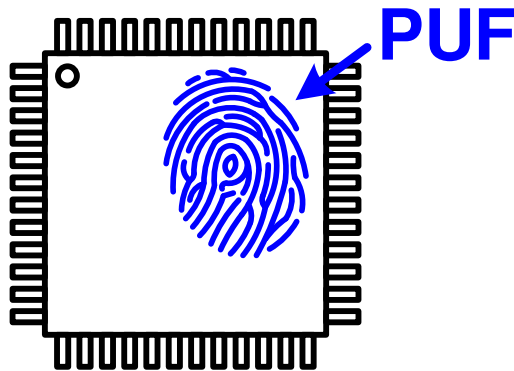
*Department of ECE  
University of Minnesota*

# Outline

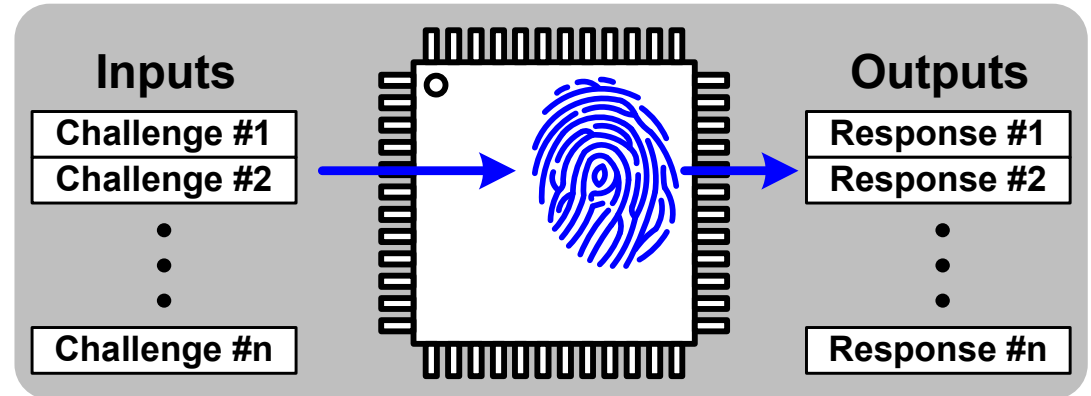
- **Physical Unclonable Function (PUF)**
- **32nm PUF Chip Measurements**
- **Soft Response Thresholding Strategies**
- **Linear PUF vs. Feed-forward PUF**
- **Conclusion**

# Physical Unclonable Function (PUF)

## Fingerprint of chip

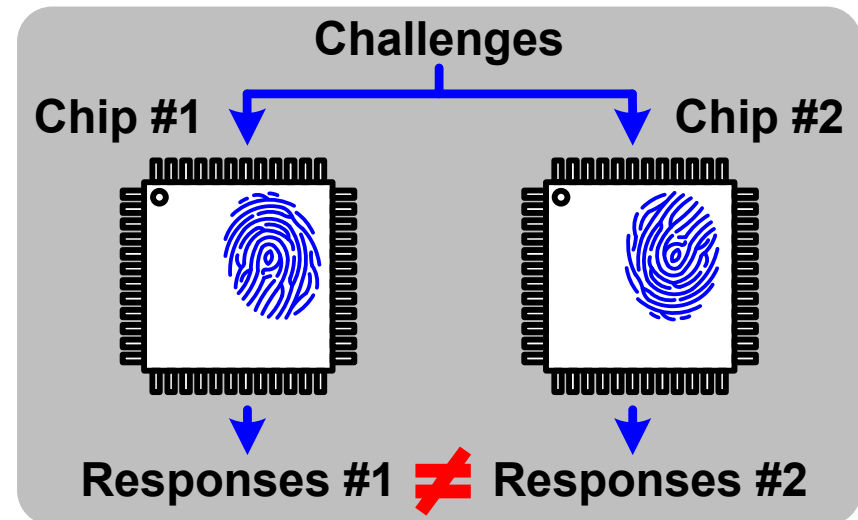


## Numerous input choices

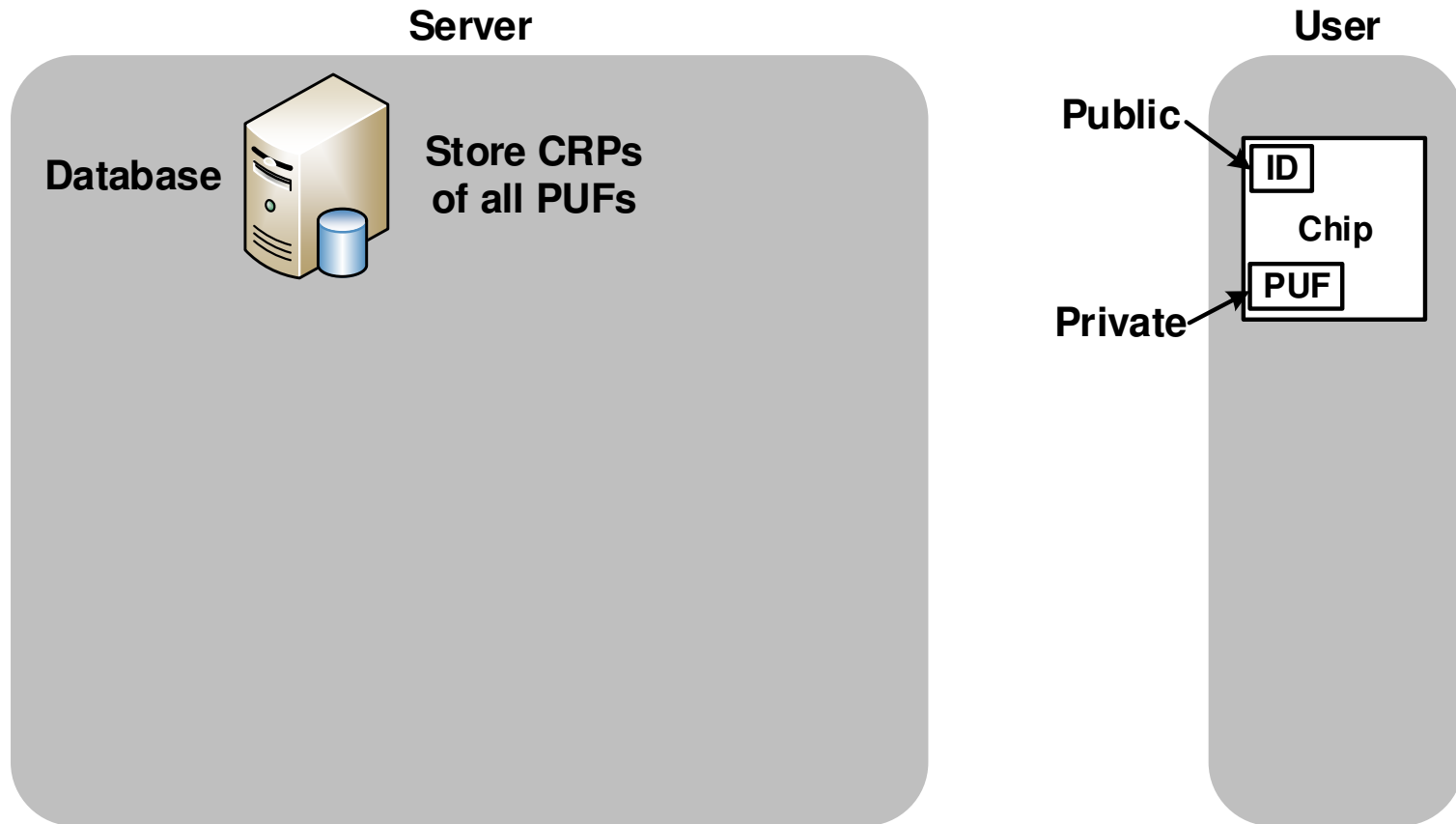


- **Unique and random:**  
Based on inherent process variation
- **Secure:** Large # of challenge-response pairs (CRPs)

## Unique and random responses

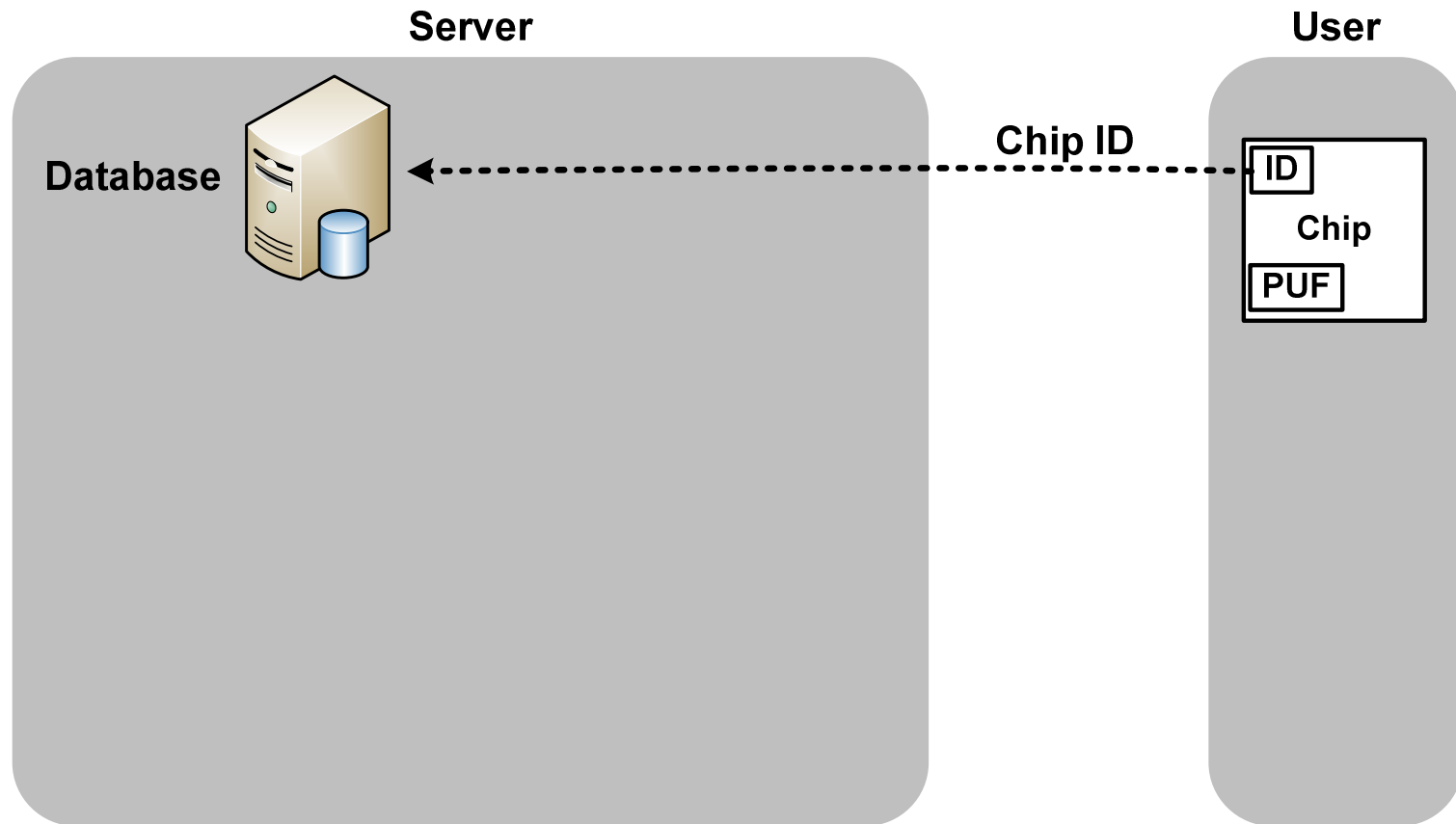


# Typical Authentication Process



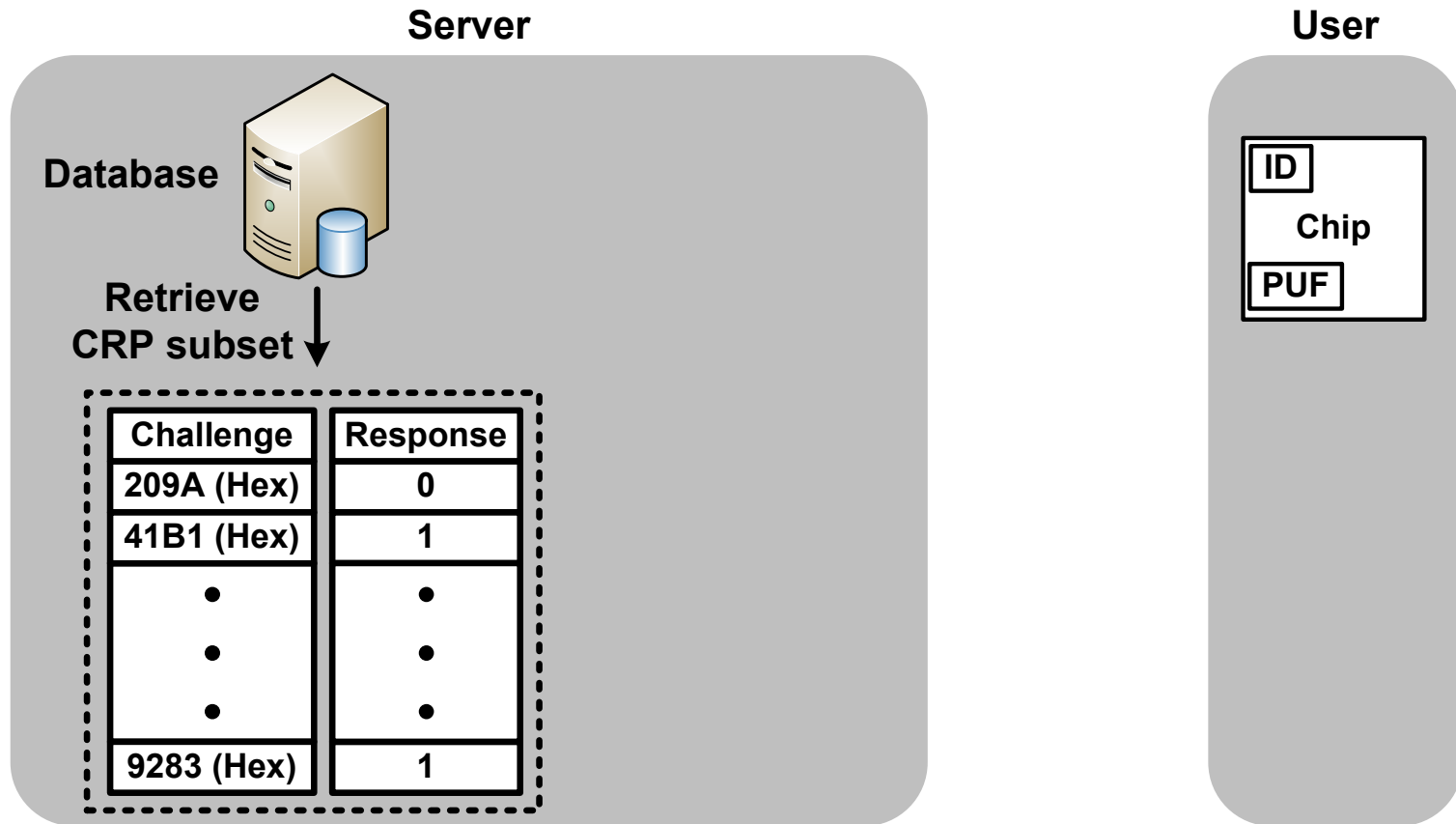
- **Server-user based authentication**
- **Challenge-response pairs tested and stored before usage**

# Typical Authentication Process



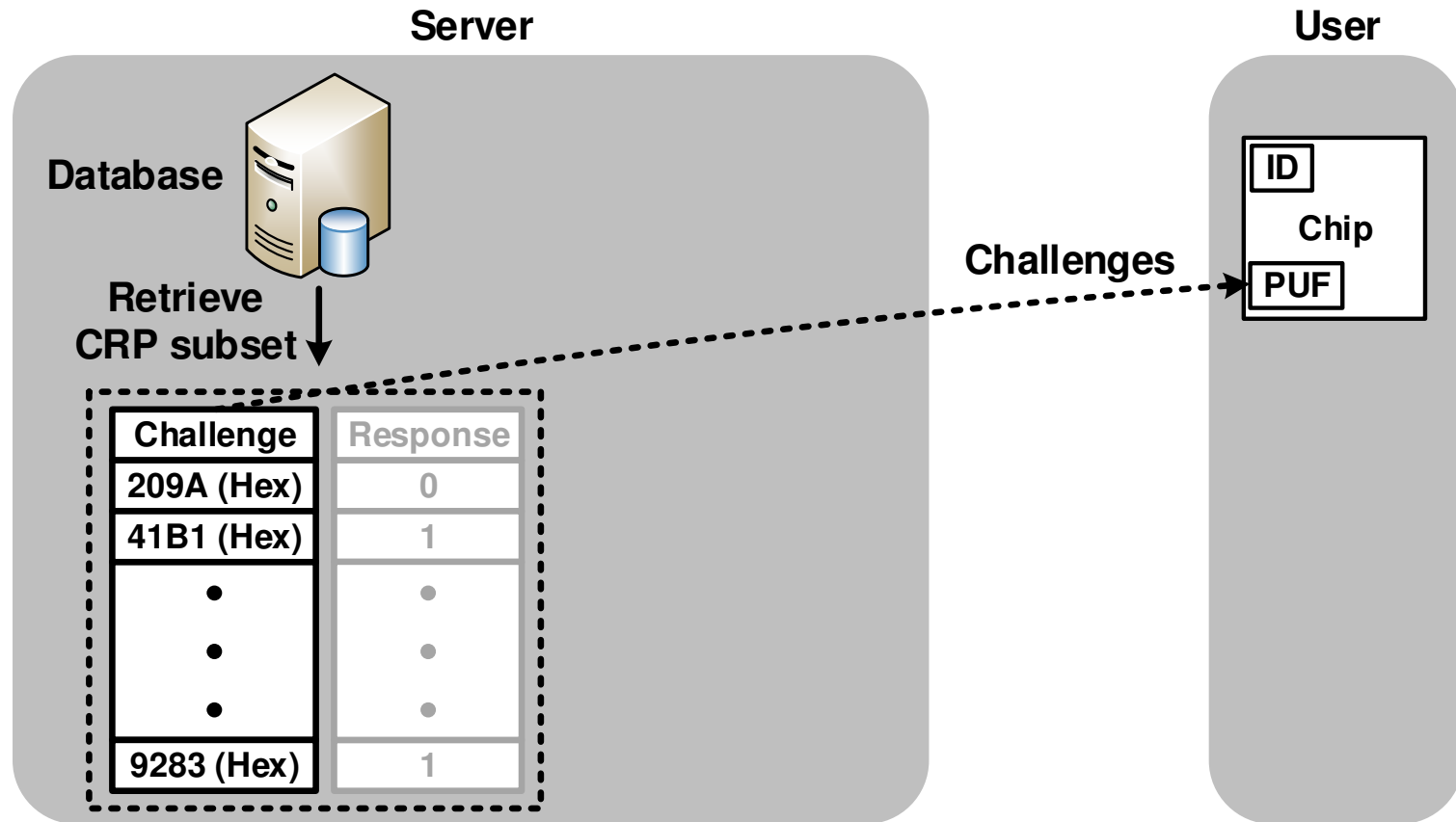
- **Public chip ID is first sent to the server**

# Typical Authentication Process



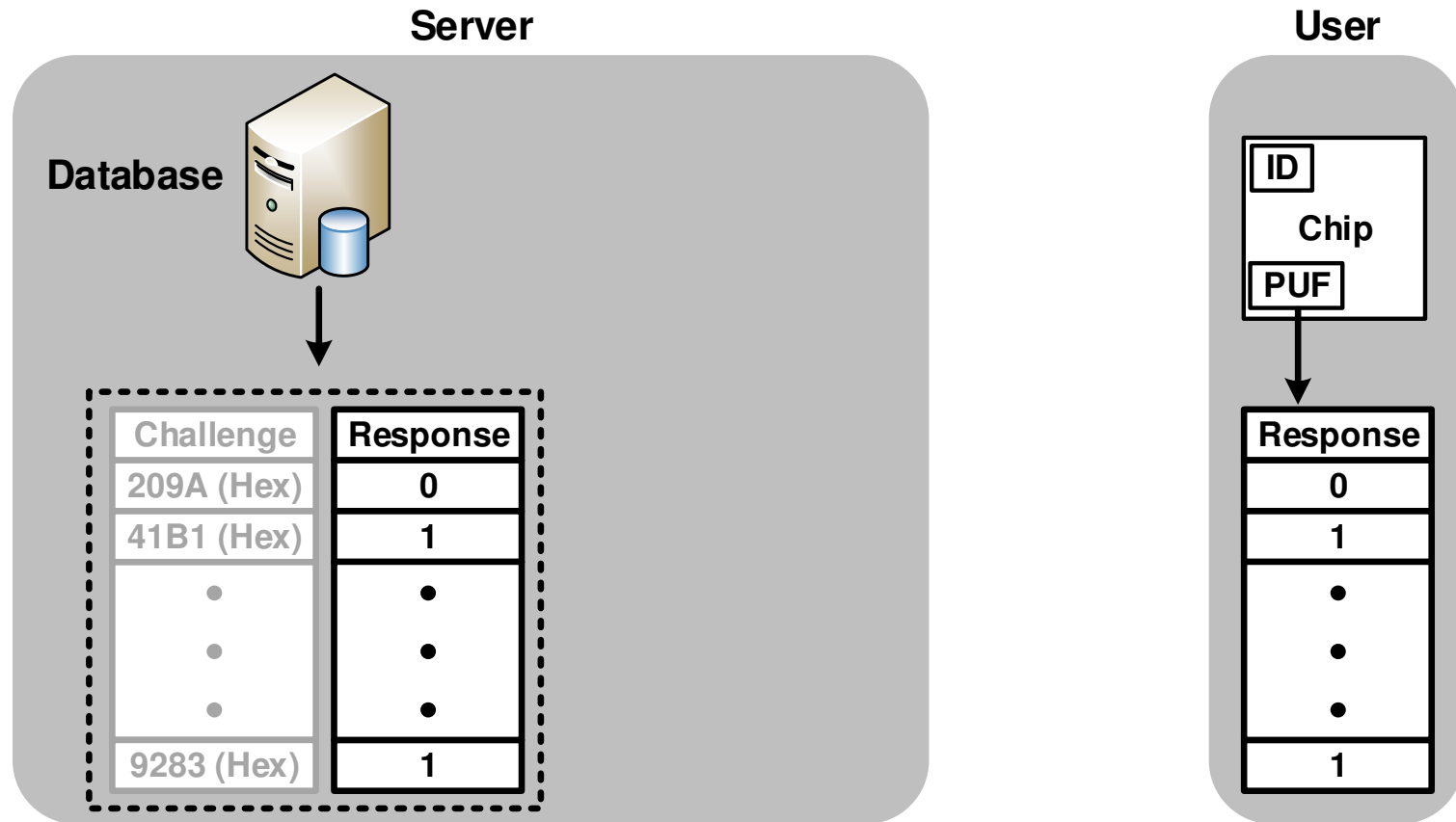
- **Server retrieves CRP subset table for the given chip ID**

# Typical Authentication Process



- Challenges are sent to the user

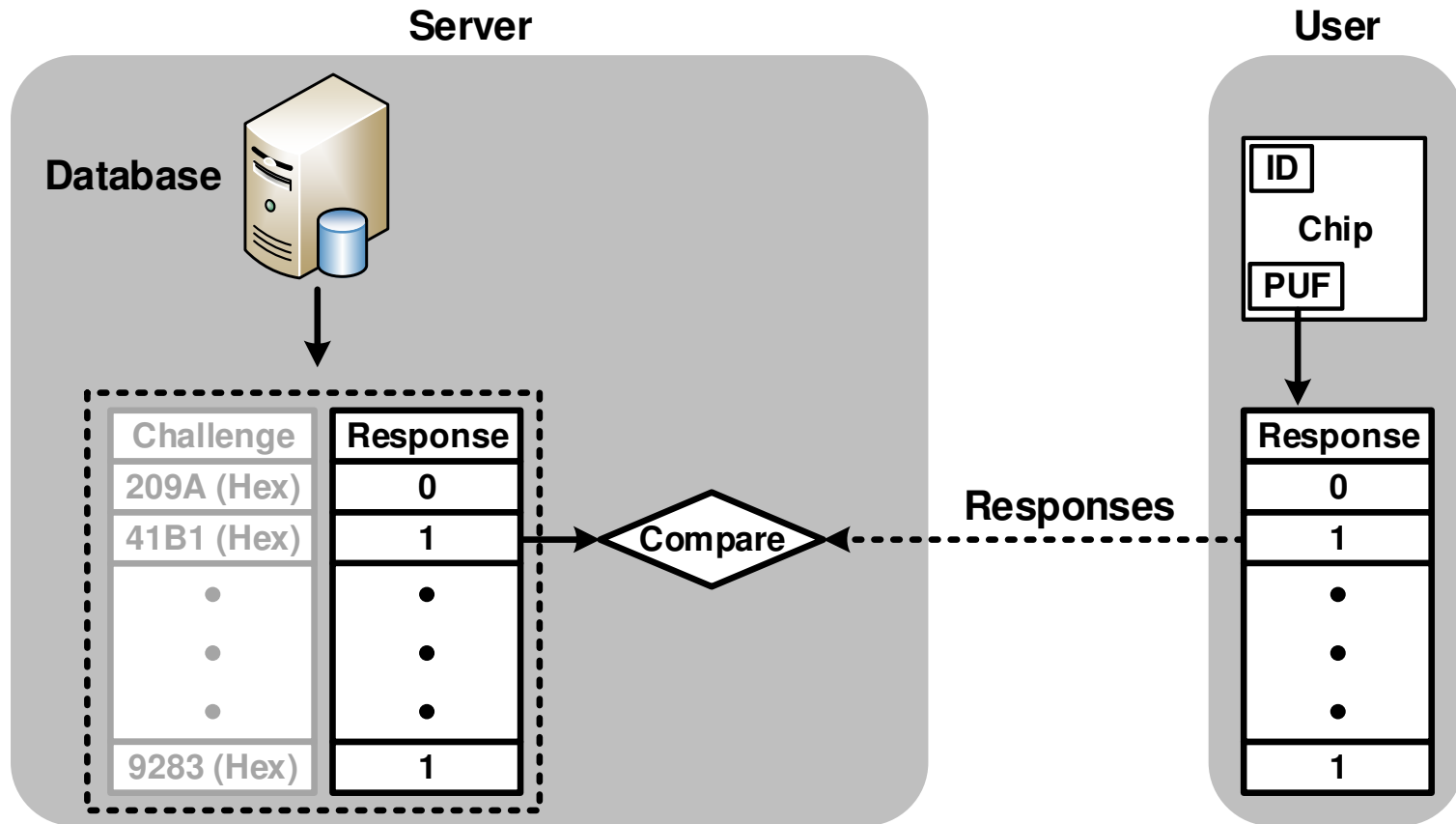
# Typical Authentication Process



- User generates responses using PUF circuit

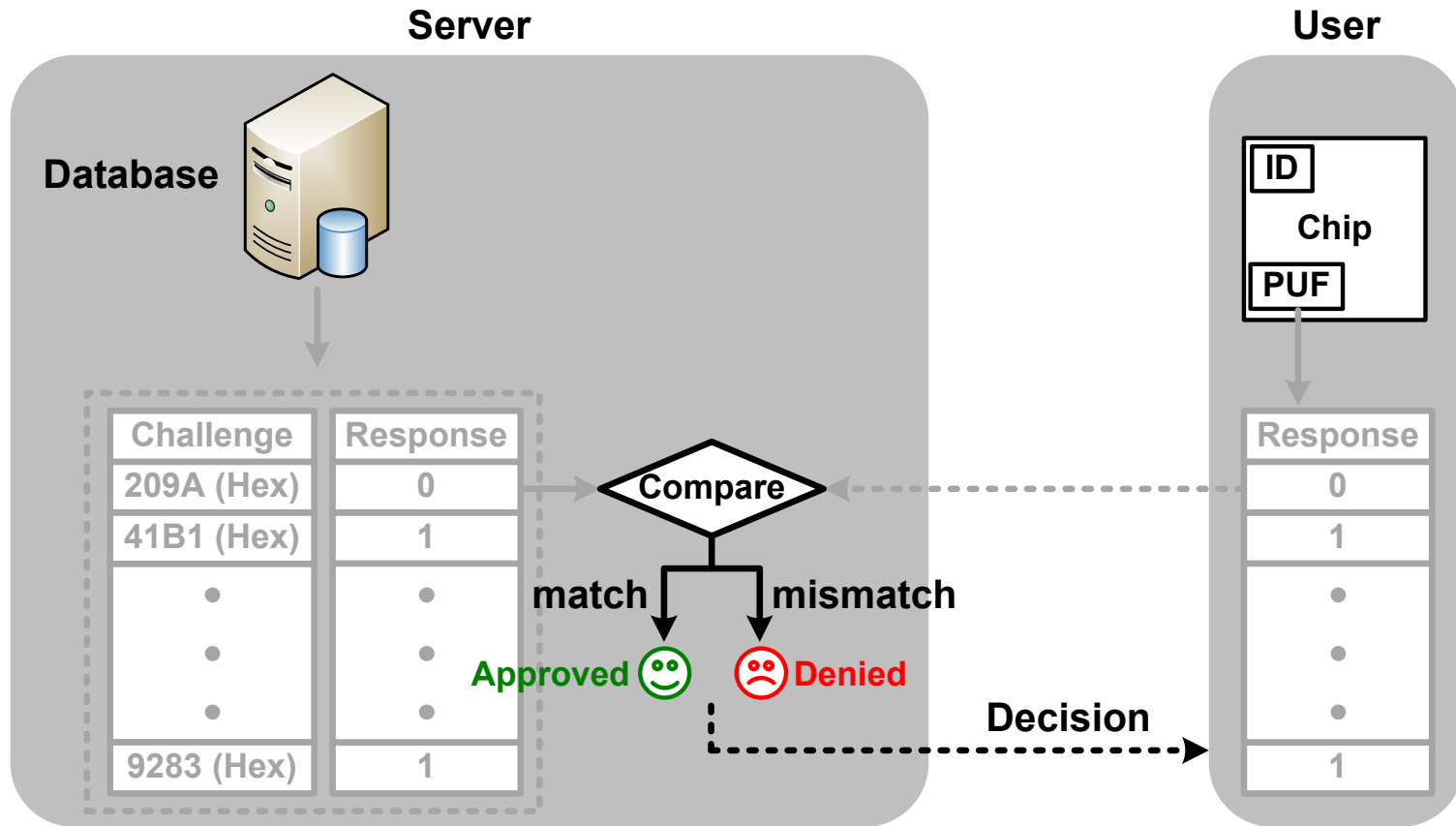


# Typical Authentication Process



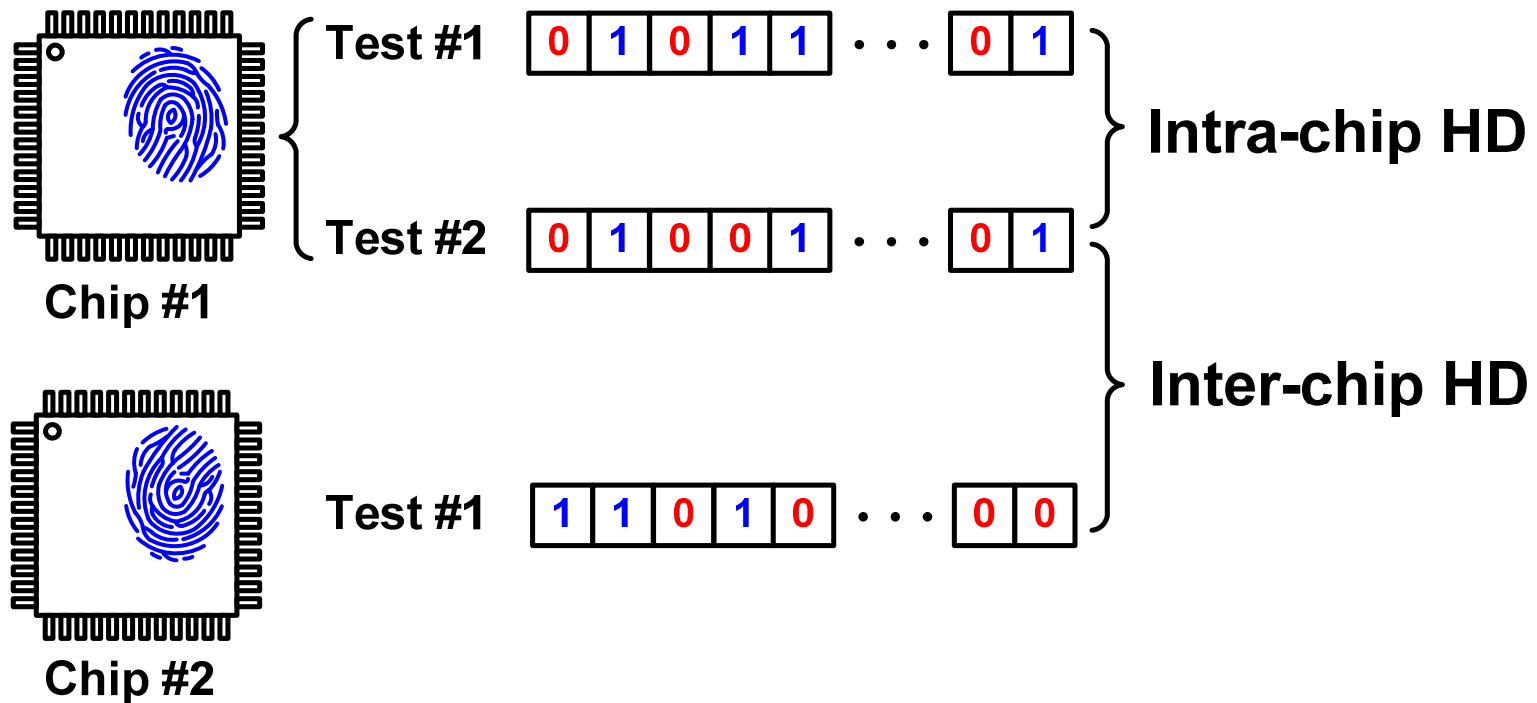
- User responses are sent to server for comparison

# Typical Authentication Process



- Approved if responses match; denied if mismatch
- Final step: decision sent to user

# Hamming Distance (HD) Calculation



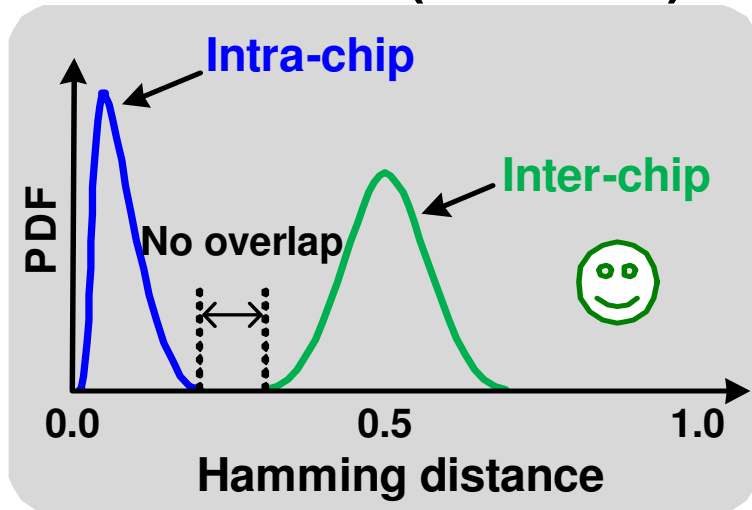
- Hamming distance can be used as matching criteria
- Intra-chip HD: Same chip, noise effects, close to 0%
- Inter-chip HD: Different chip, process variation effects, close to 50%

# Outline

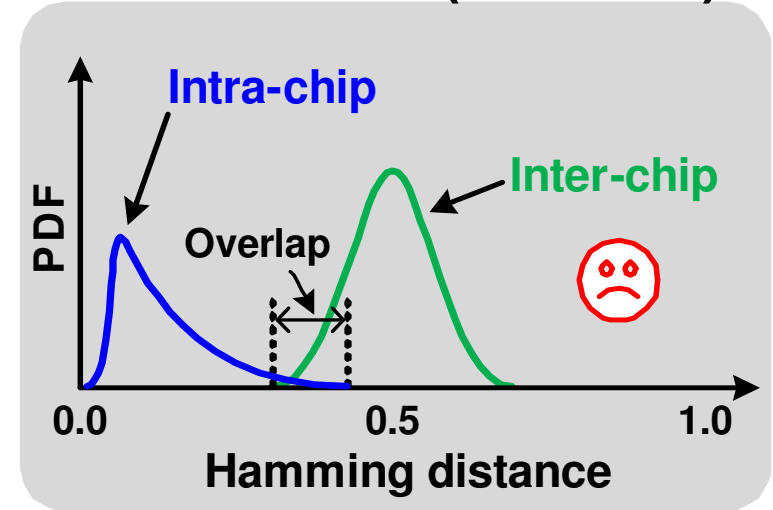
- Physical Unclonable Function (PUF)
- **32nm PUF Chip Measurements**
- Soft Response Thresholding Strategies
- Linear PUF vs. Feed-forward PUF
- Conclusion

# Motivation of This Work

Ideal case (all CRPs)

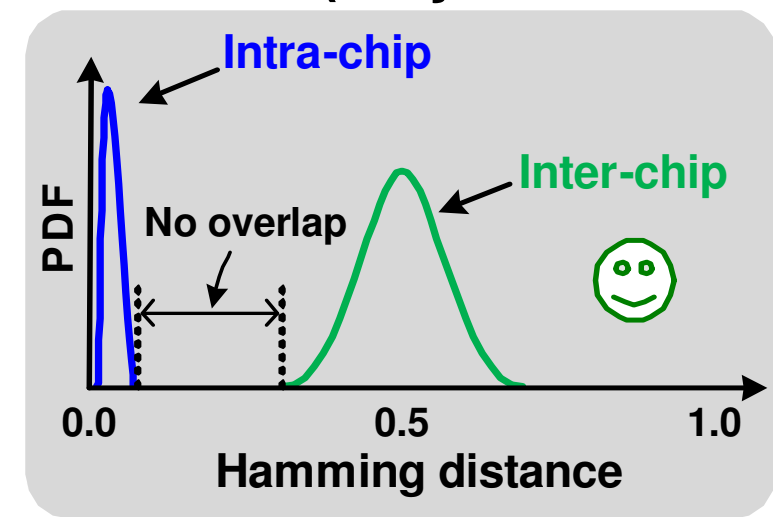


Actual case (all CRPs)



- **Stable CRPs have less intra-chip variation**
- **Measure soft response (=probability of response being '1' or '0') to find stable CRPs**

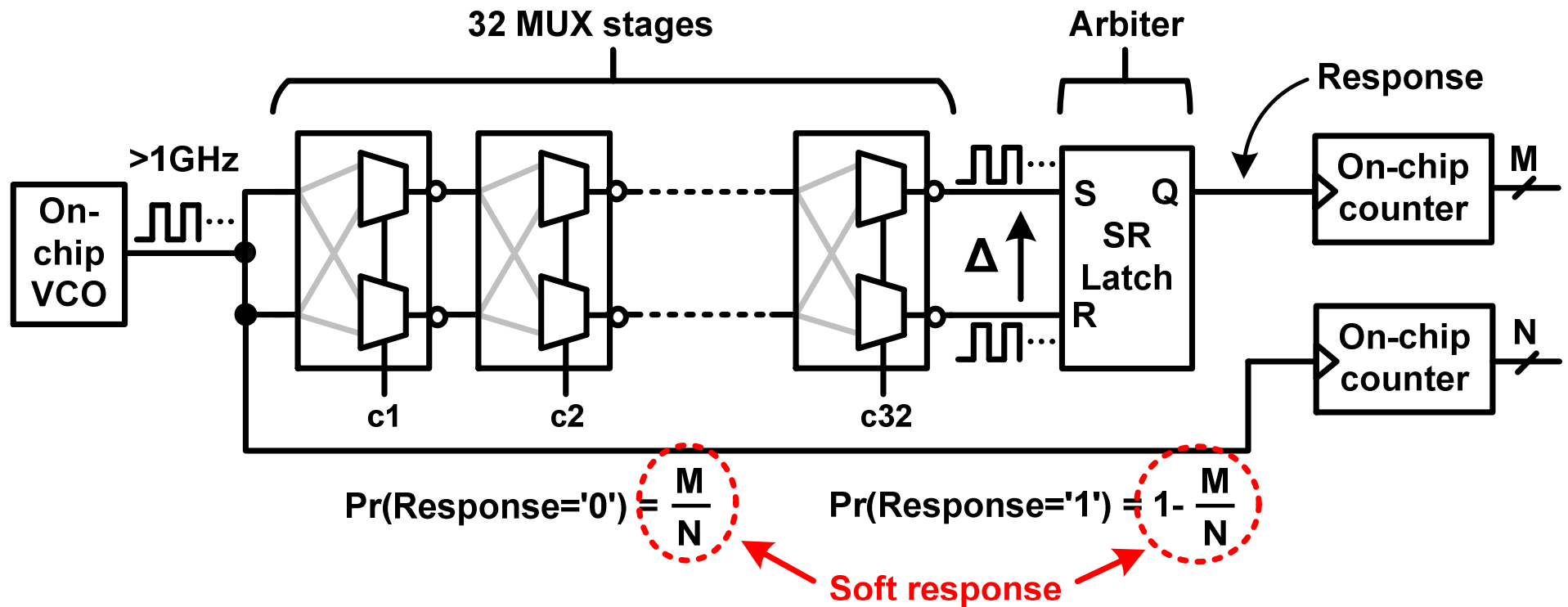
Actual case (only stable CRPs)



# Contributions of This Work

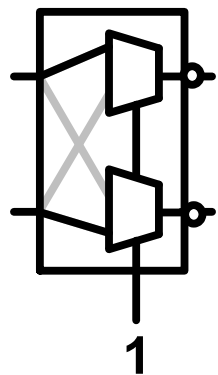
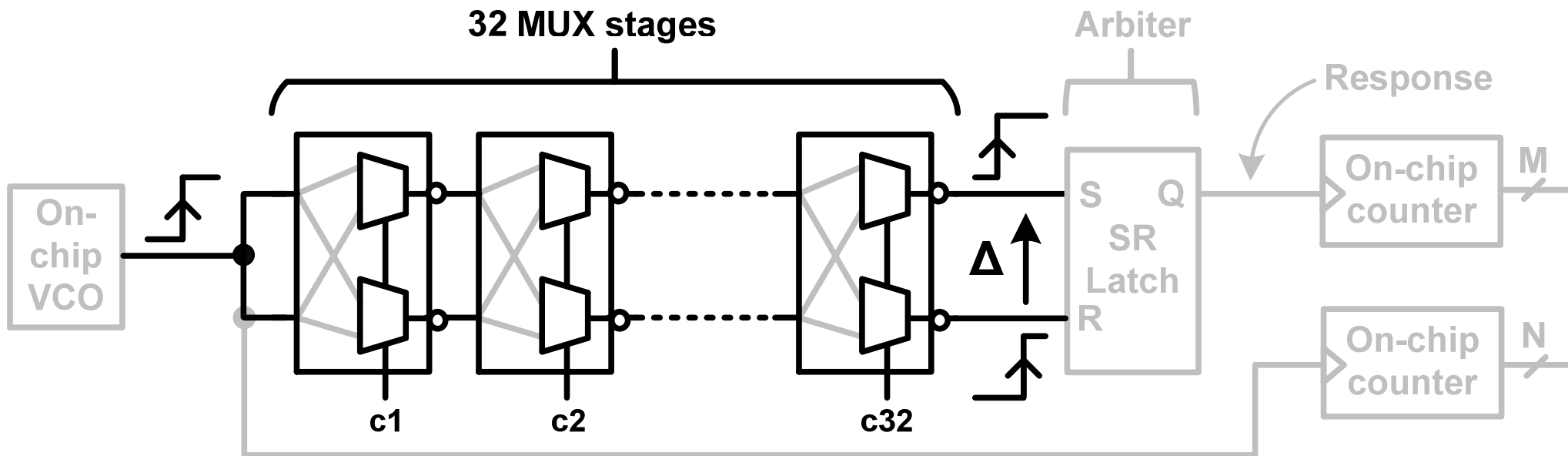
- **Implemented soft response collection circuits in a 32nm test chip**
- **Generated MUX PUF soft response distribution based on 3.3 Gb test data**
- **Proposed soft response thresholding strategies to select stable challenge-response pairs**
- **Implemented and characterized feed-forward MUX PUF**

# Proposed Soft Response Measurement Circuit

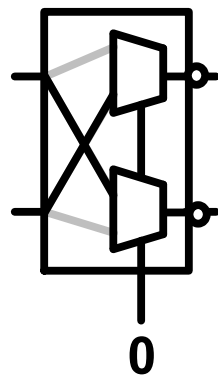


- Soft response = response probability information
- >GHz sampling circuits facilitate efficient soft response measurements

# Linear MUX PUF Delay Stages



PUF stage  
(c='1')

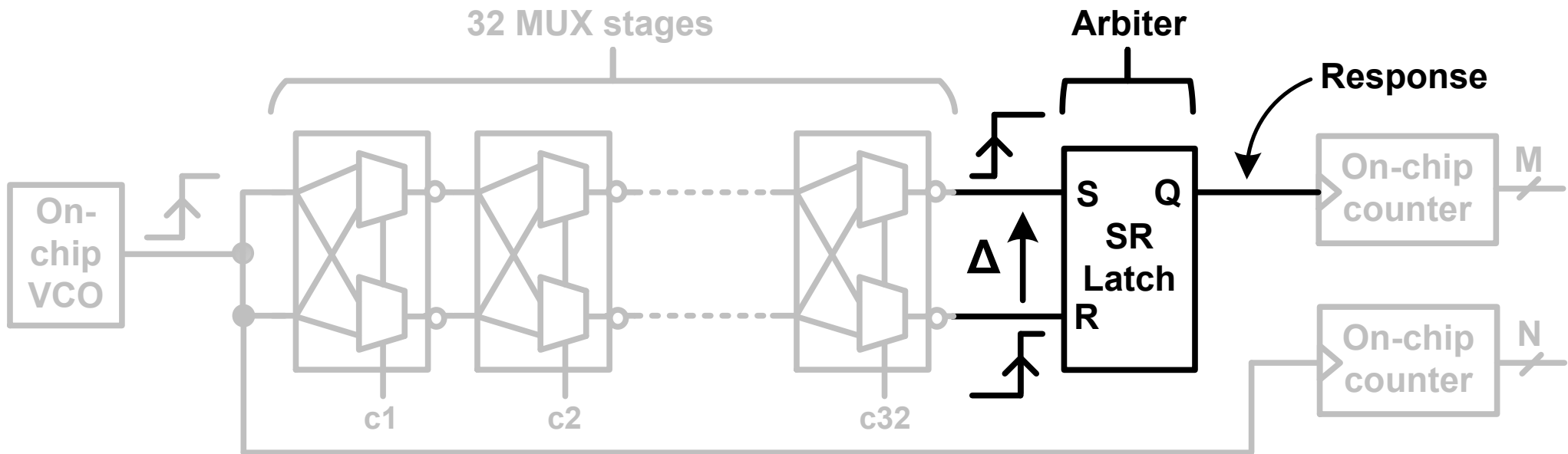


PUF stage  
(c='0')

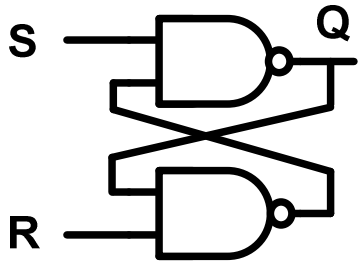
- Parallel or crossed signal paths configured by challenge bits
- Delay difference determined by inherent process variation



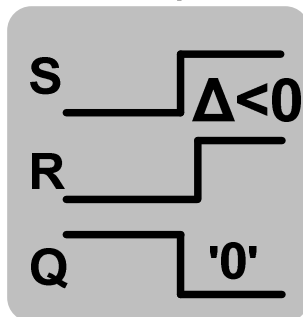
# Arbiter Circuit



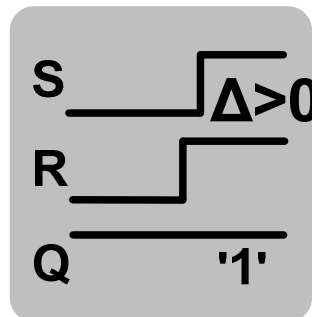
SR latch  
arbiter



Response  
= '0'

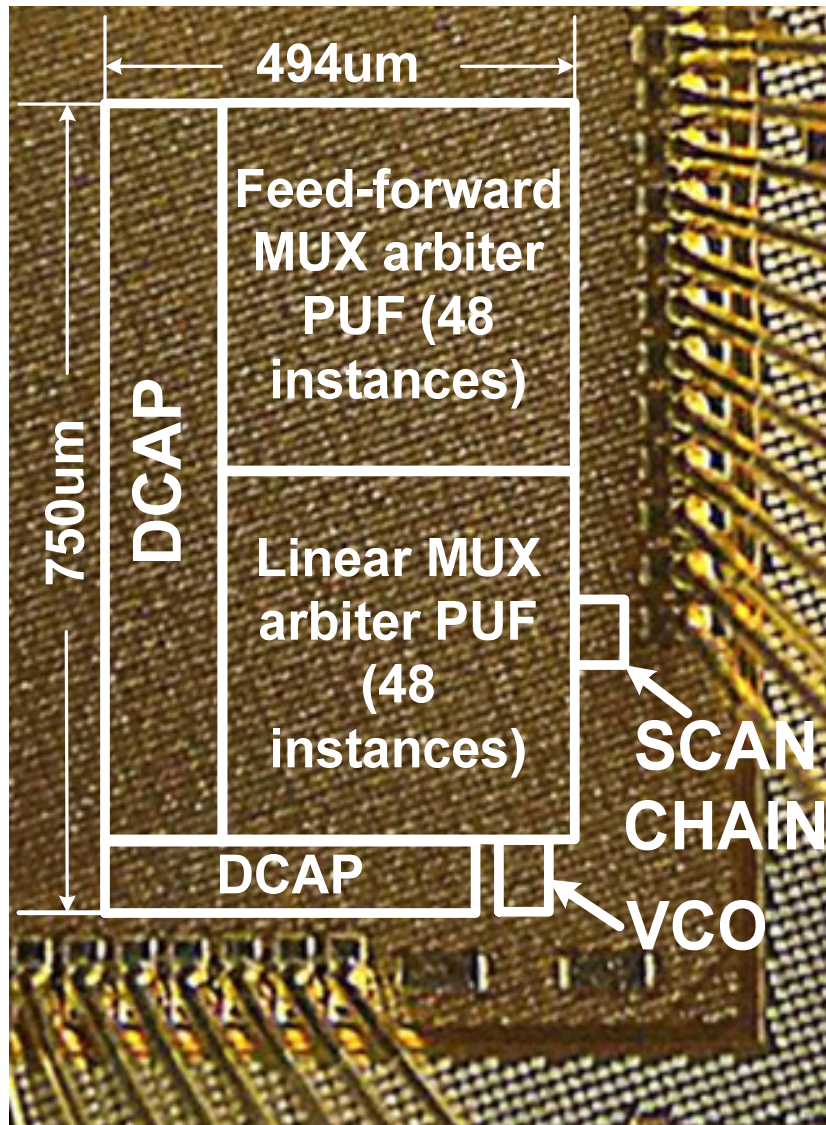


Response  
= '1'



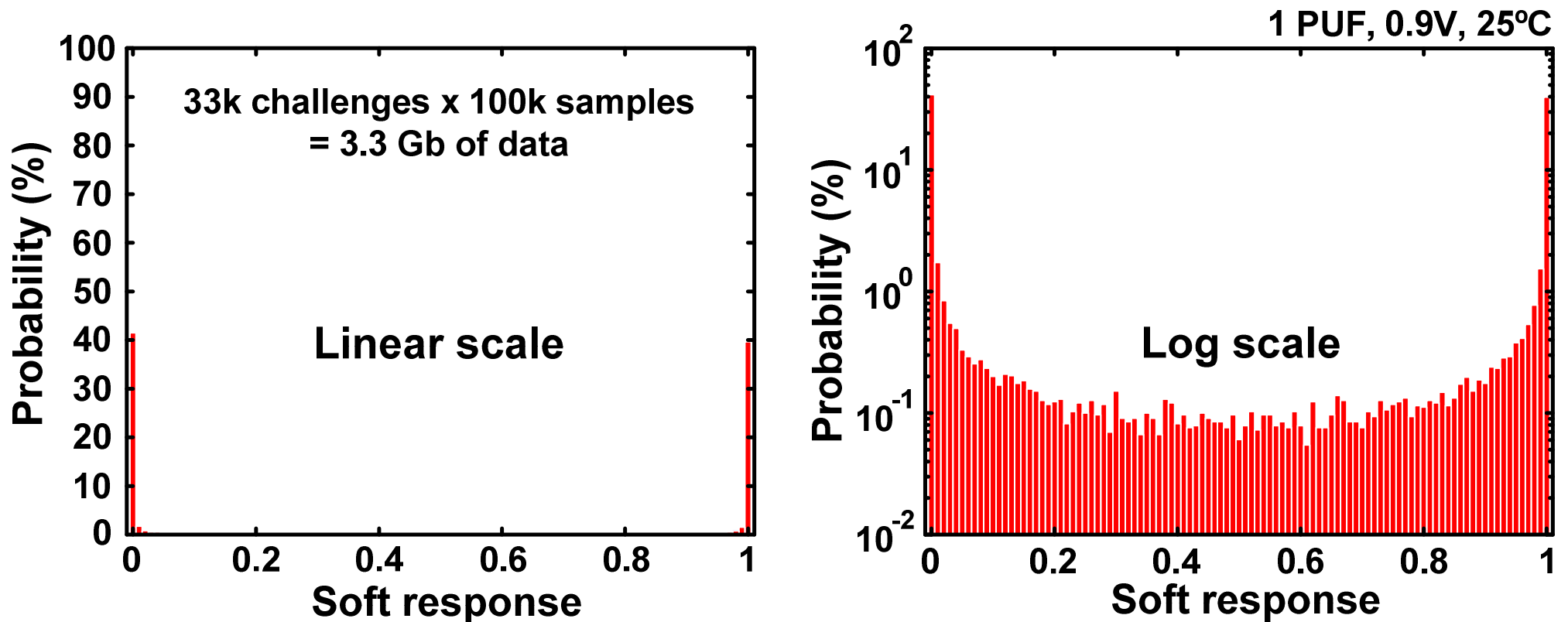
- **Arbiter generates response bit based on delay difference**

# 32nm PUF Test Chip



<b>Process</b>	<b>32nm</b>
<b>VDD</b>	<b>0.8V, 0.9V, 1.0V (nominal: 0.9V)</b>
<b>Temperature</b>	<b>25°C, 85°C</b>
<b>Circuit area</b>	<b>0.37 mm<sup>2</sup></b>
<b>PUF type</b>	<b>Linear and Feed-forward MUX arbiter PUF</b>
<b>PUF stages</b>	<b>32</b>
<b># of PUFs</b>	<b>48 (linear) + 48 (feed-forward)</b>
<b>VCO frequency</b>	<b>&lt;1.4 GHz</b>
<b>Arbiter</b>	<b>SR latch</b>

# Soft Response Measurements

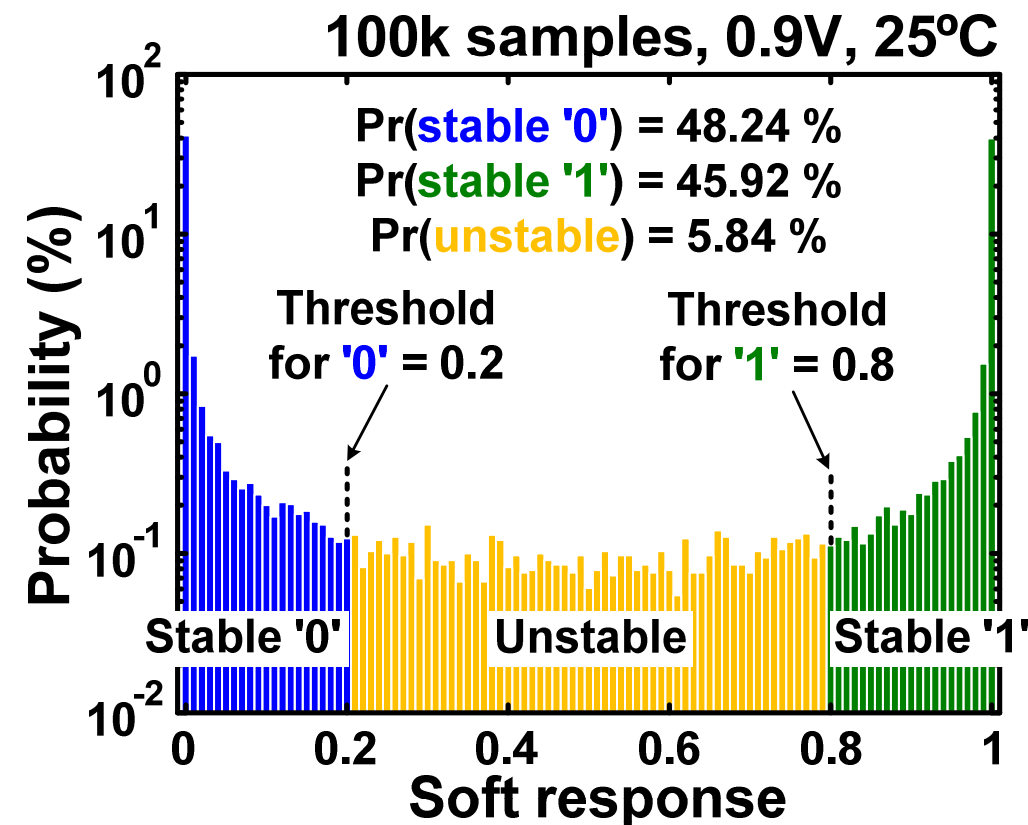


- **Soft response is a function of the actual delay difference**
- **Above distribution generated using 3.3 Gb of PUF response data**

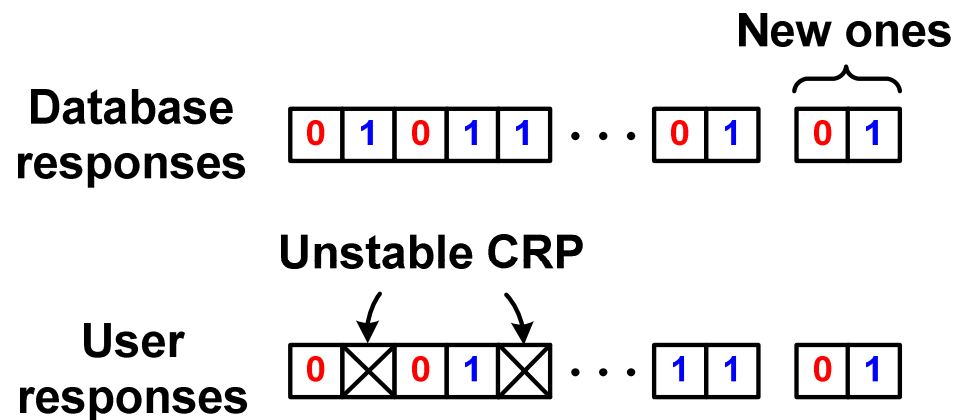
# Outline

- Physical Unclonable Function (PUF)
- 32nm PUF Chip Measurements
- **Soft Response Thresholding Strategies**
- Linear PUF vs. Feed-forward PUF
- Conclusion

# Soft Response Thresholding Strategy



## Authentication with stable CRPs

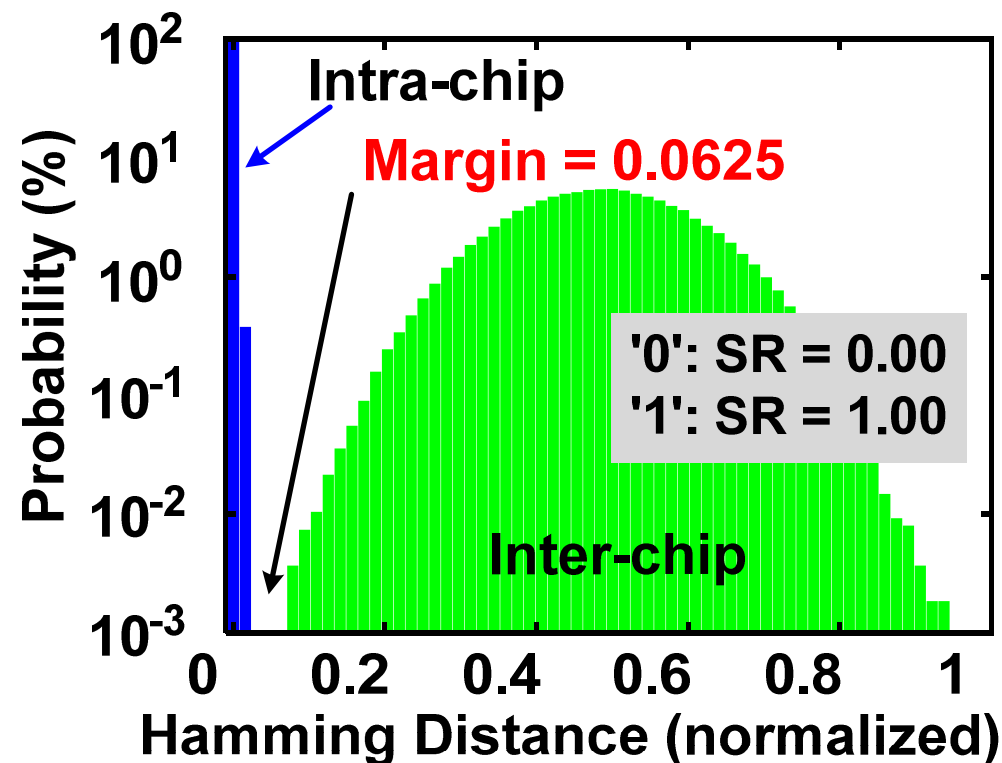
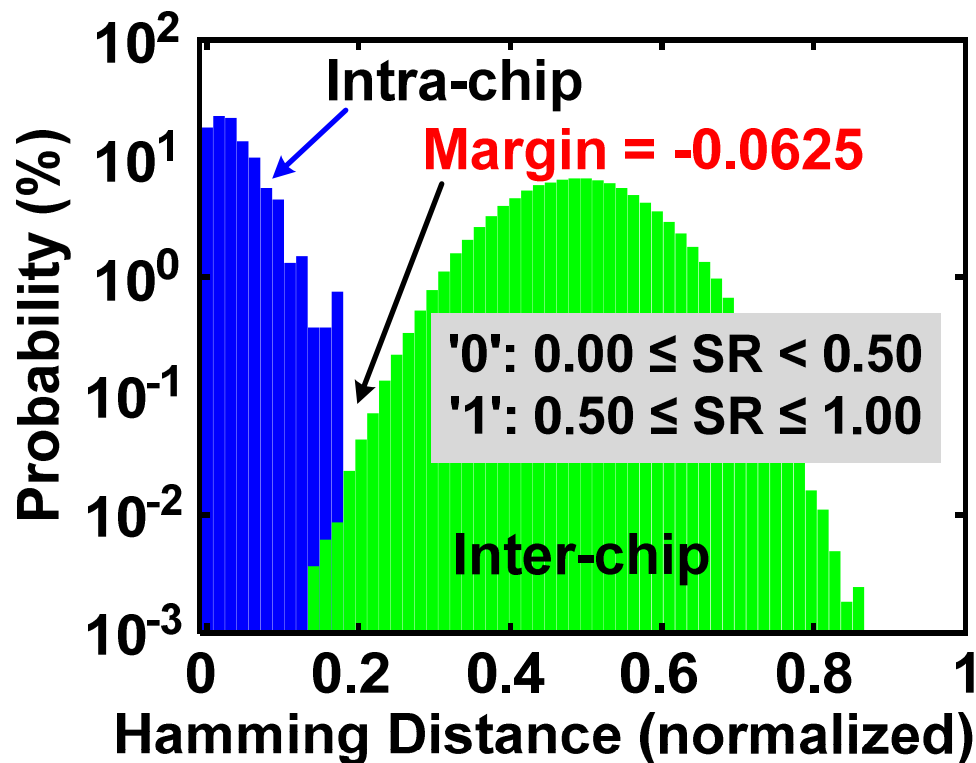


Hamming distance: % of mismatch

- Symmetric thresholds used to define stable and unstable CRPs
- Unstable CRPs not used for authentication

# Impact of Soft Response (SR) Threshold

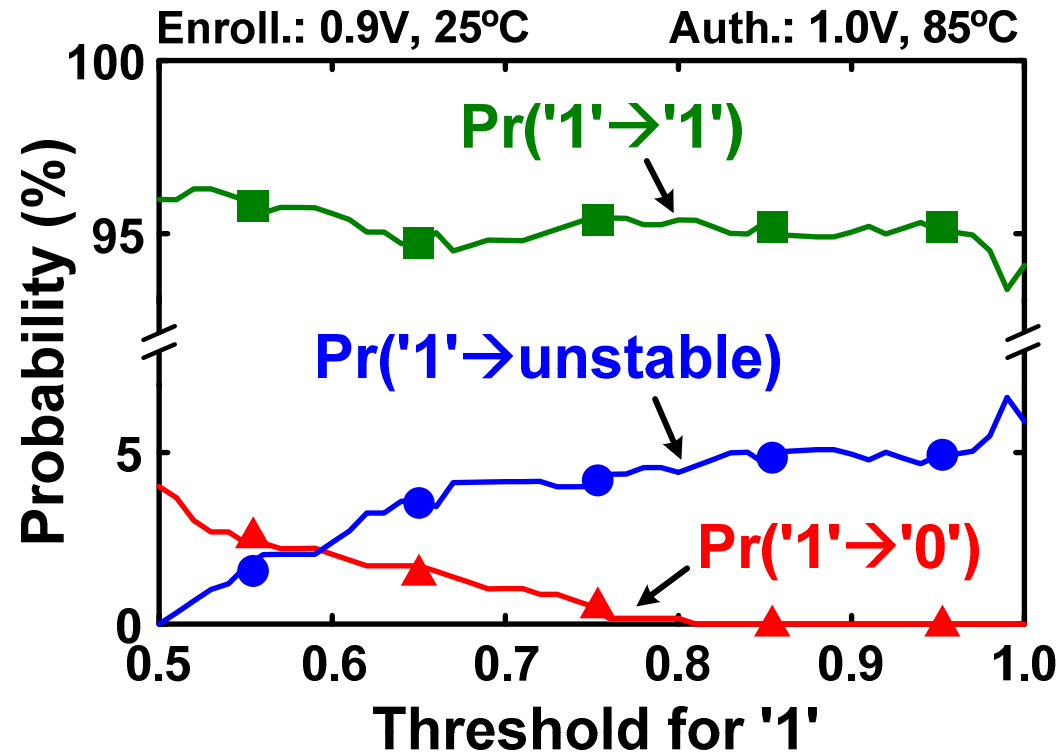
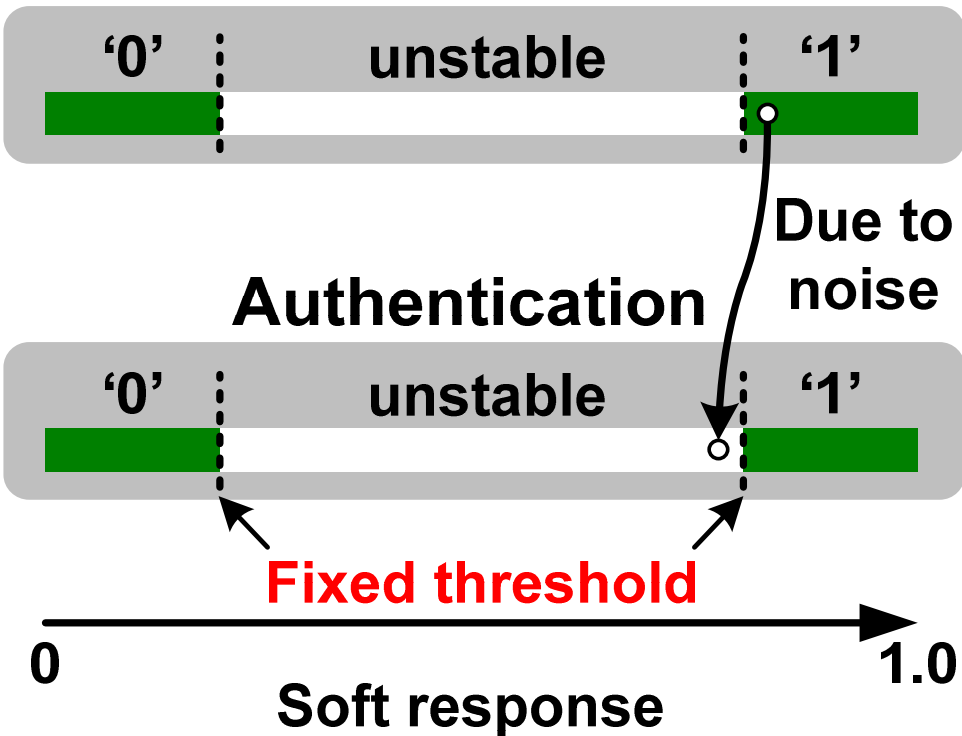
VDD: 0.8, 0.9, 1.0V    Temperature: 25, 85°C    64 stable CRPs    32nm data



- Left: HD distributions overlap when threshold=0.5
- Right: No overlap when threshold=0 and 1 (i.e. only stable responses are used)

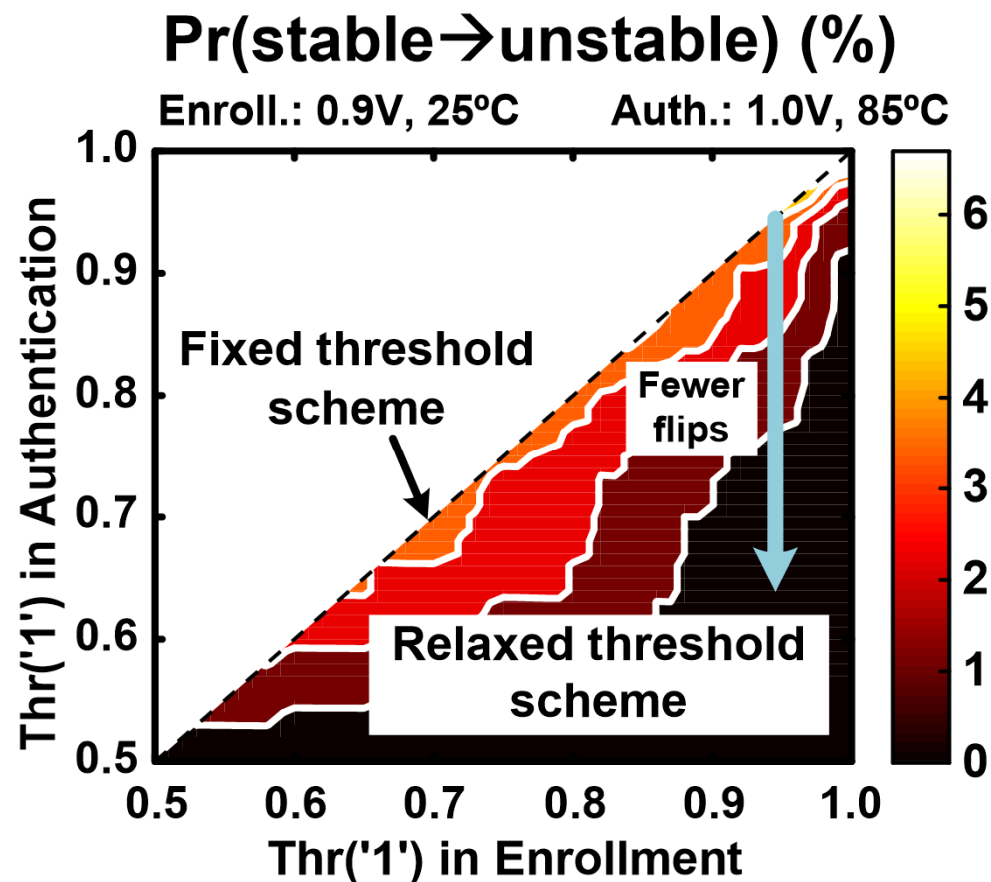
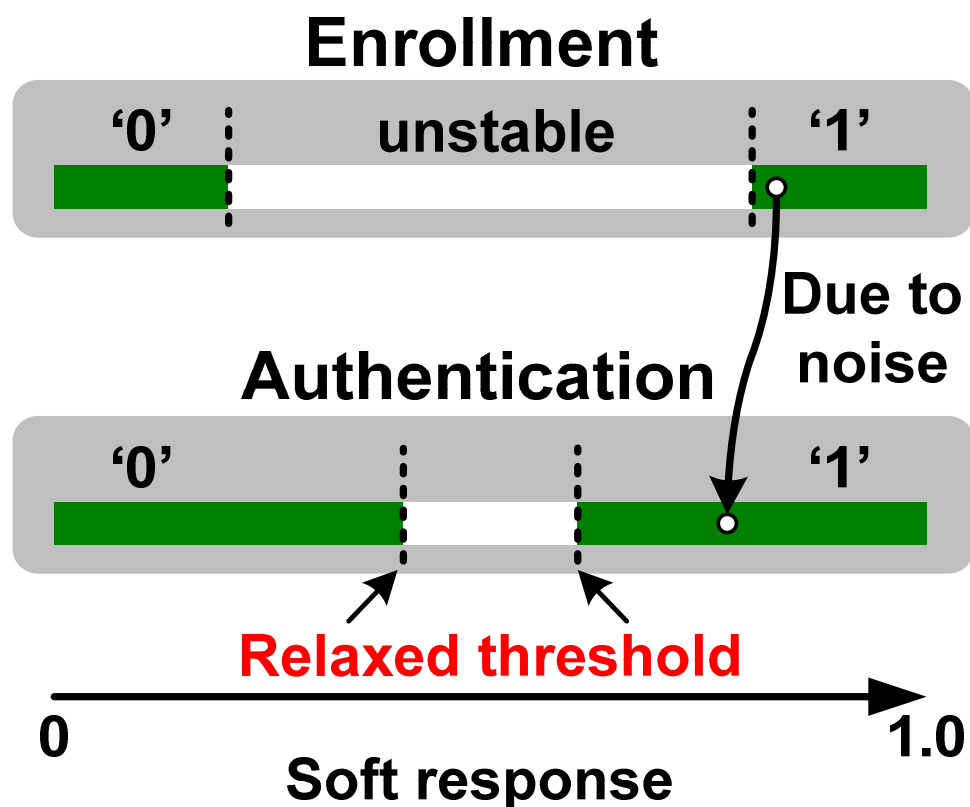
# Fixed Threshold Scheme

## Enrollment



- No stable '1' to stable '0' flips when threshold > 0.81
- Stable '1' to 'unstable' flips always exist, necessitating more tests to find stable CRPs

# Relaxed Threshold Scheme



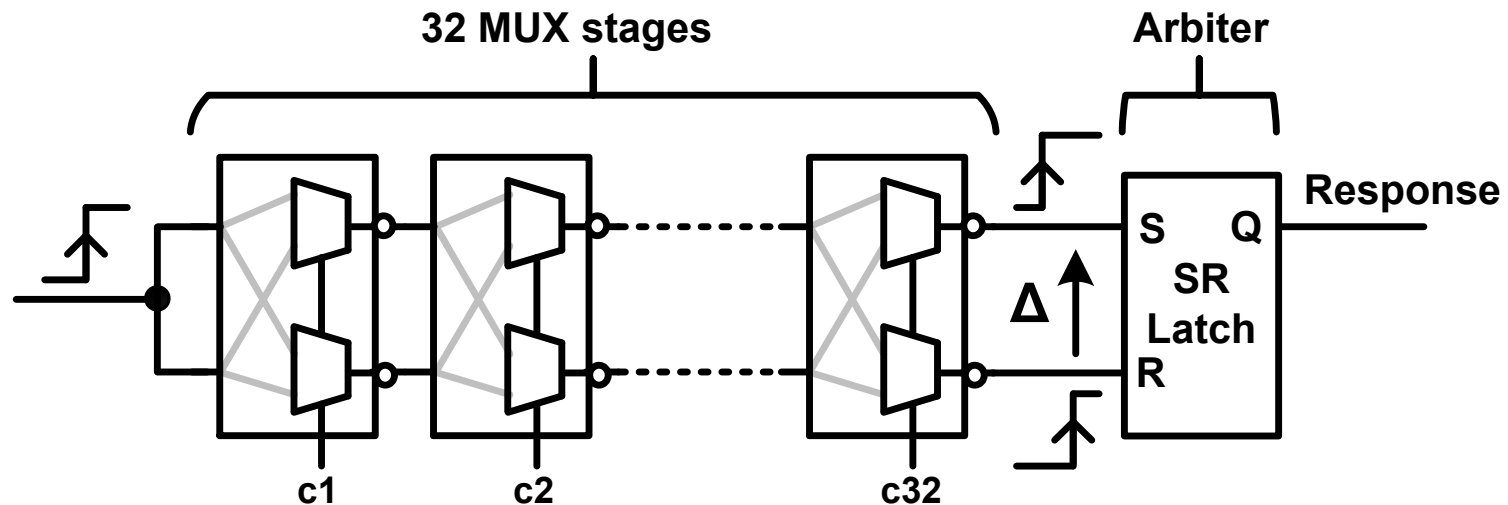
- Stringent threshold during enrollment phase and relaxed threshold during authentication
- Results in fewer '1'  $\rightarrow$  'unstable' and '0'  $\rightarrow$  'unstable' flips



# Outline

- Physical Unclonable Function (PUF)
- 32nm PUF Chip Measurements
- Soft Response Thresholding Strategies
- **Linear PUF vs. Feed-forward PUF**
- Conclusion

# Linear MUX PUF Vulnerability



$$C = \begin{bmatrix} (2c_1 - 1)(2c_2 - 1) \cdots (2c_{32} - 1) \\ (2c_2 - 1) \cdots (2c_{32} - 1) \\ \vdots \\ (2c_{32} - 1) \\ 1 \end{bmatrix}^T$$

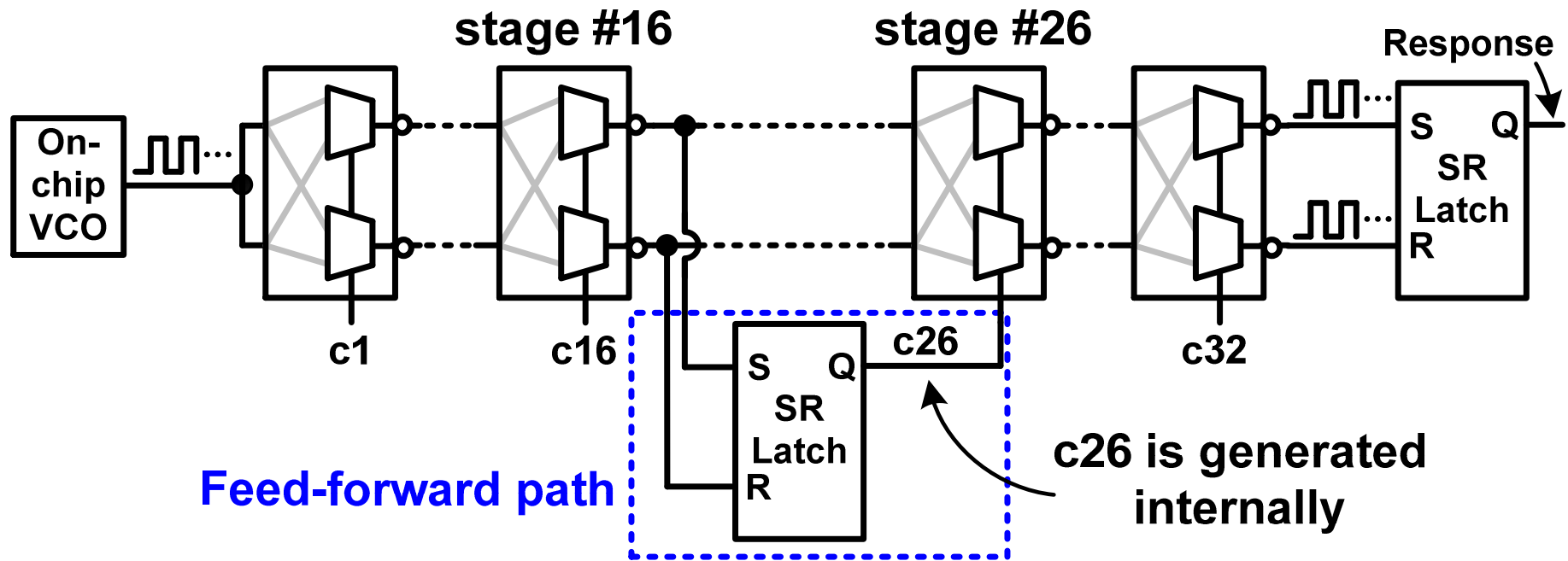
$$\Delta = C \cdot W$$

$$W = \frac{1}{2} \begin{bmatrix} \delta_1^0 - \delta_1^1 \\ \delta_1^0 + \delta_1^1 + \delta_2^0 - \delta_2^1 \\ \vdots \\ \delta_{31}^0 + \delta_{31}^1 + \delta_{32}^0 - \delta_{32}^1 \\ \delta_{32}^0 + \delta_{32}^1 + b \end{bmatrix}$$

$$response = (sign(\Delta) + 1) / 2$$

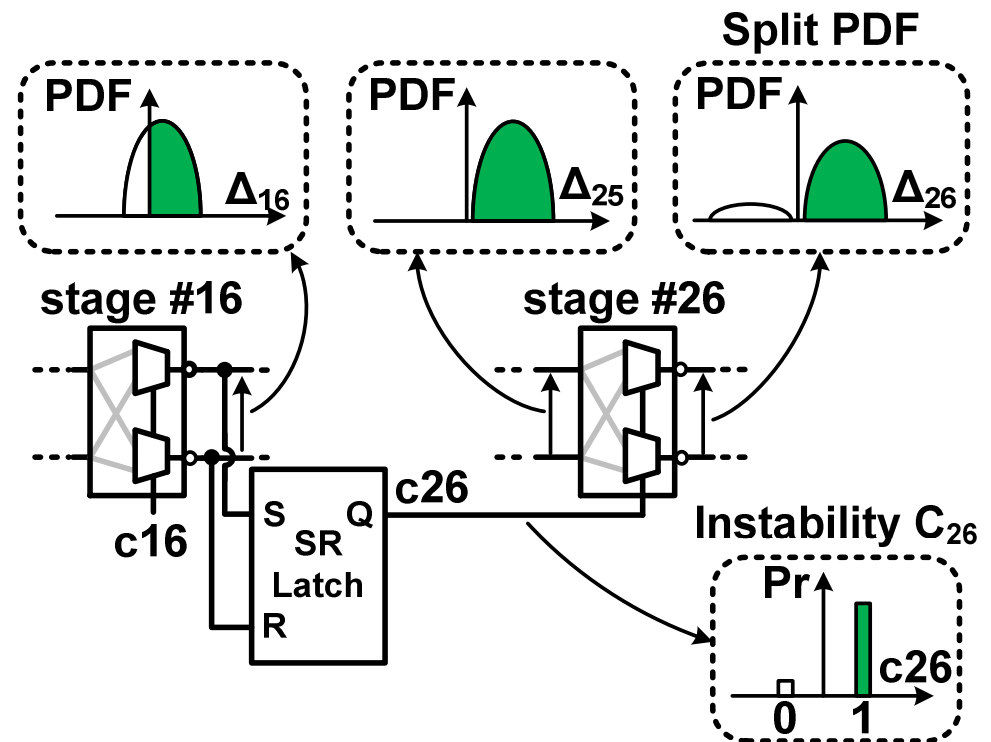
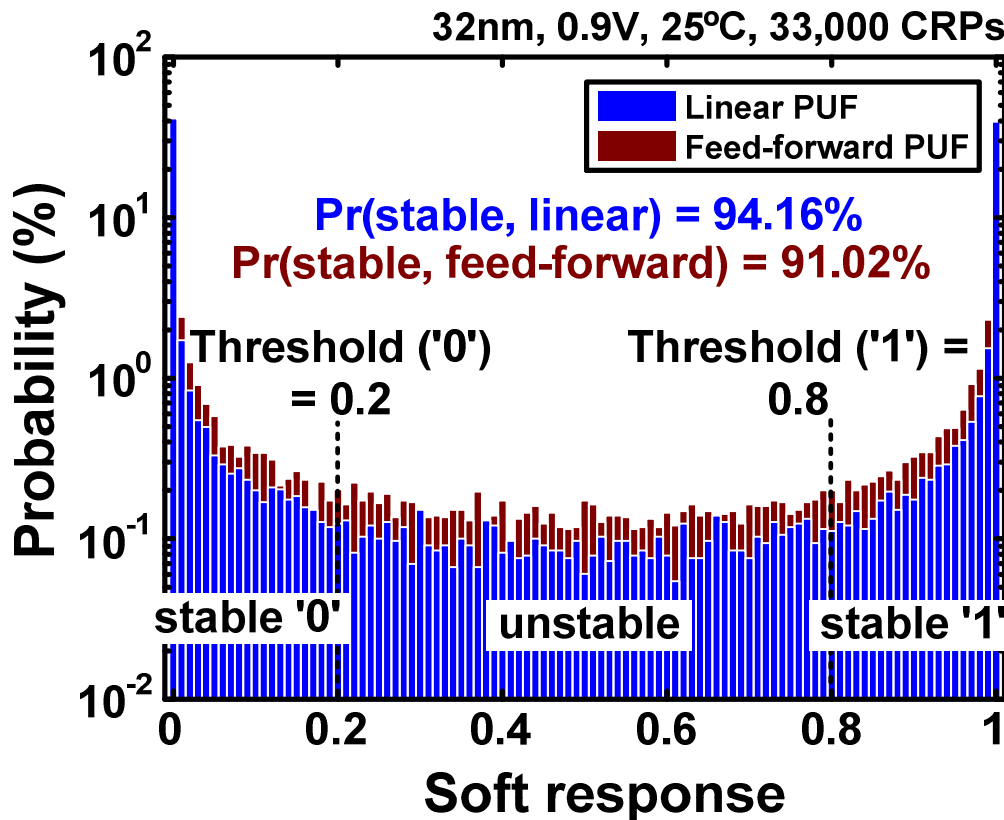
- Linear PUFs are susceptible to modelling attack
- That is, attacker can predict correct response with very high probability using past CRP data

# Feed-forward MUX PUF for Improved Security



- Use intermediate response for some challenge bits
- Non-linear relationship between delay and response  
→ harder for attacker to predict correct response
- No experimental data reported on feed-forward PUF

# 32nm Test Chip Data: Linear vs. Feed-forward MUX PUF



- % of stable CRPs decreases from 94.16% to 91.02% due to instability of internal challenge bit

# Conclusion

- **Soft response measurement circuit demonstrated in a 32nm test chip**
  - On-chip VCO and counters enable fast measurement
- **Different thresholding strategies evaluated**
  - Enables robust authentication across wider voltage and temperature range
- **Feed-forward MUX measured for the first time**
  - % of stable CRPs decreases slightly due to instability of internal challenge bit

## Acknowledgements

- **National Science Foundation and Semiconductor Research Corporation for funding**