

EE8510 Project

Using Noncoherent Modulation for Training

Yingqun Yu

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Noncoherent Channel Model

$$\mathbf{X} = \sqrt{\frac{\rho T}{M}} \mathbf{\Phi} \mathbf{H} + \mathbf{W}$$

- Rayleigh flat block-fading, T : channel coherence interval
Marzetta & Hochwald [IT'99]
- $\mathbf{\Phi} \in \mathcal{C}^{T \times M}$: Transmitted signal matrix
- $\mathbf{X} \in \mathcal{C}^{T \times N}$: Received signal matrix
- $\mathbf{H} \in \mathcal{C}^{M \times N}$: Unknown channel matrix, *i.i.d.* $\mathcal{CN}(0, 1)$
- $\mathbf{W} \in \mathcal{C}^{T \times N}$: Additive noise matrix, *i.i.d.* $\mathcal{CN}(0, 1)$
- Power Constraint: $\mathbb{E}\{\text{Tr}(\mathbf{\Phi}^\dagger \mathbf{\Phi})\} = M$
- ρ : Average SNR per receive antenna

Known Results on Coherent Capacity

- Coherent Capacity (\mathbf{H} known to Rx but not Tx)

Foschini [*Bell Labs. Tech. J*'96], Telatar [*ETT*'99]

$$\begin{aligned} C_{coherent}(\rho) &= \mathbb{E}\{\log_2 \det(\mathbf{I}_M + \frac{\rho}{M} \mathbf{H} \mathbf{H}^\dagger)\} \\ &= \mathbb{E}\{\log_2 \det(\mathbf{I}_N + \frac{\rho}{M} \mathbf{H}^\dagger \mathbf{H})\} \end{aligned}$$

- Asymptotically ($M = N$):

$$\lim_{M \rightarrow \infty} \lim_{\rho \rightarrow \infty} \left[\frac{C_{coherent}(\rho)}{M} - \log_2\left(\frac{\rho}{e}\right) \right] = 0$$

Known Results on Noncoherent Capacity

- Noncoherent Capacity (\mathbf{H} unknown to either Tx or Rx)

Zheng & Tse [IT'02]

high SNR $\rho \gg 1, T \geq 2M = 2N$

$$C_{M,M}(\rho) = \left(1 - \frac{M}{T}\right) C_{coherent}(\rho) + c(T, M) + o(1)$$

- Asymptotically (fix the ratio $\alpha = M/T$):

$$\frac{C_{M,M}(\rho)}{M} \rightarrow (1 - \alpha) \log_2 \left[\left(\frac{\rho}{e}\right) \cdot 2^{\frac{k(\alpha)}{(1-\alpha) \ln 2}} \right]$$

where

$$k(\alpha) = \frac{(1 - \alpha)^2}{2\alpha} \ln(1 - \alpha) + \frac{\alpha}{2} \ln \alpha + \frac{1 - \alpha}{2} < 0$$

Unitary Space Time Modulation (USTM)

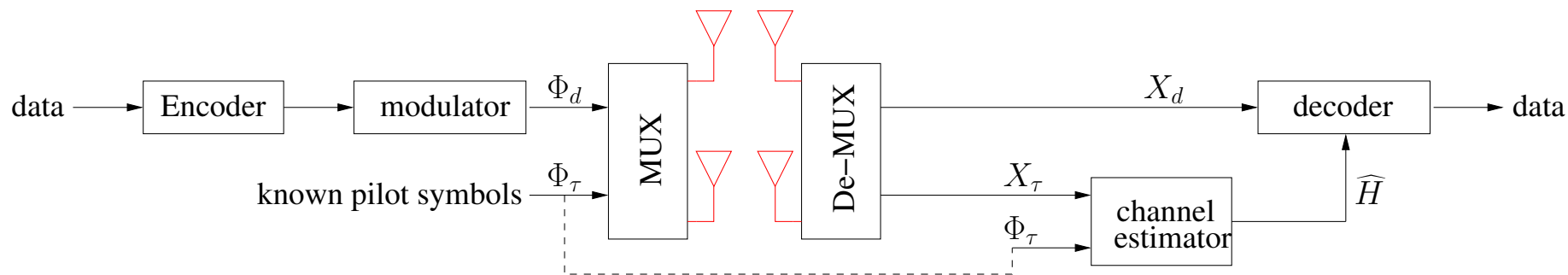
- Constellations of $T \times M$ space-time signals

Hochwald & Marzetta [IT'00]

$$\{\Phi_l, l = 1, \dots, L\} : \Phi_l^\dagger \Phi_l = \mathbf{I}_M$$

- Capacity achieving when $T \gg M$ or $\rho \gg 1$ with $M \leq \min\{N, T/2\}$
- Designed by numerical optimizations, no particular algebraic structure
- Exponential demodulation complexity
Constellation size L : 2^{RT} for a given rate of R bits per symbol

Training-Based Schemes



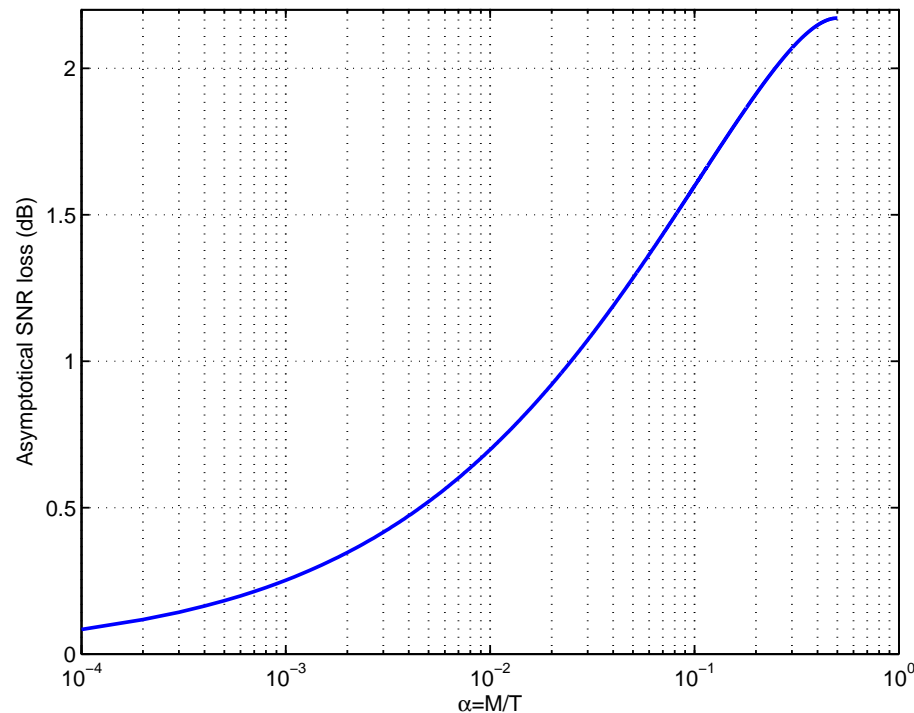
- Multiplexing known pilot symbols with data symbols
- A tight capacity lower bound ($\Phi_\tau^\dagger \Phi_\tau = \mathbf{I}_M$)
Zheng & Tse [IT'02], Hassibi & Hochwald [IT'03]
 $C_{known}^L(\rho) = (1 - \frac{M}{T})C_{coherent}(\rho_{eff})$
- Suffers SNR loss (due to estimation error) at high SNR: $\rho_{eff} < \rho$
- Optimal for noncoherent channel when T is large

Q: How large T is enough?

Asymptotic SNR Loss of Training with Known Symbols

$T \geq 2M = 2N \rightarrow \infty, \rho \rightarrow \infty$, but $\alpha = M/T$ fixed

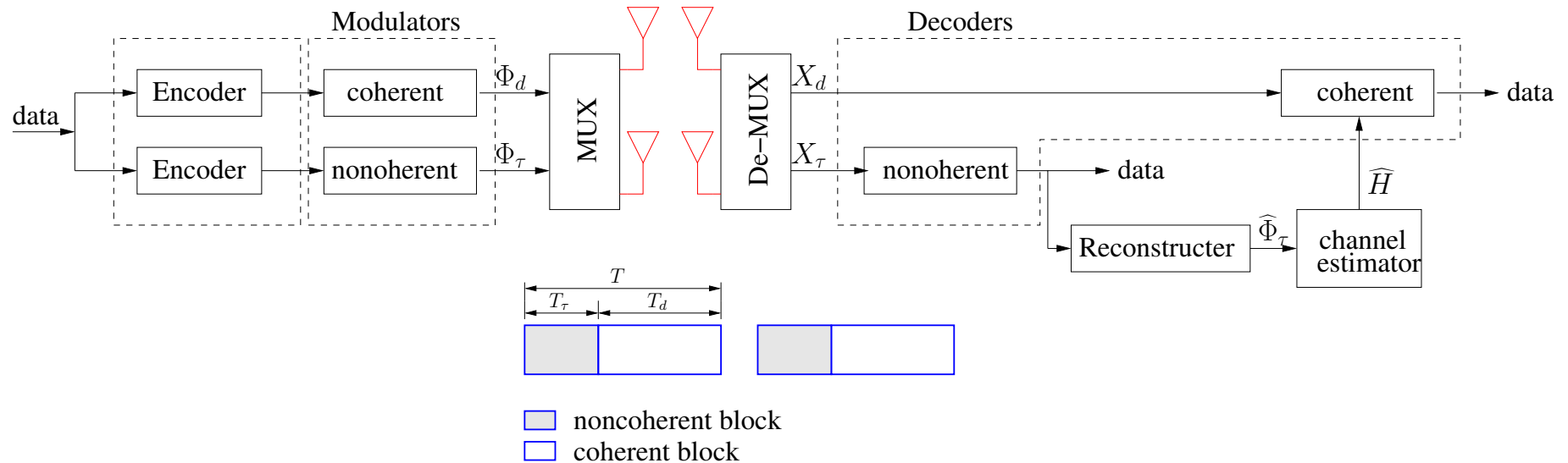
$$\rho_{loss}(\alpha) = \left[1 + 2\sqrt{\alpha(1-\alpha)} \right] \cdot 2^{\frac{k(\alpha)}{(1-\alpha)\ln 2}}$$



$$\rho_{loss}(0.5) = 2.17\text{dB}, \rho_{loss}(10^{-1}) = 1.598\text{dB},$$

$$\rho_{loss}(10^{-2}) = 0.698\text{dB}, \rho_{loss}(10^{-3}) = 0.252\text{dB}$$

Using Noncoherent Modulation for Training



- Pilot symbols are unknown to the receiver, and can carry data information
- T_τ is only a fraction of T , leading to less complexity
- The tradeoff between complexity and SNR loss can be obtained by selecting a suitable T_τ

Using USTM as Training Symbols

- Choose Φ_τ as USTM: $\Phi_\tau^\dagger \Phi_\tau = \mathbf{I}_M$

$$C_{unknown}^L(\rho) = \alpha_1 I_{USTM(\rho)} + (1 - \alpha_1) C_{coherent}(\rho_{eff}),$$

$\alpha_1 = T_\tau/T$: Time-Sharing factor!

- Asymptotically,

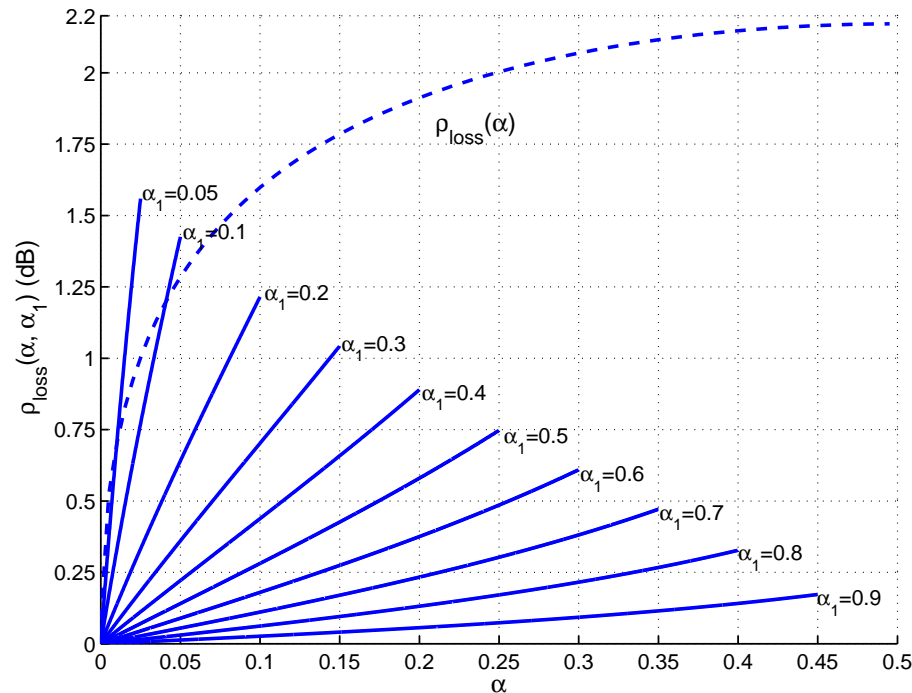
$T \geq T_\tau \geq 2M = 2N \rightarrow \infty, \rho \rightarrow \infty$, but $\alpha = M/T, \alpha_1 = T_\tau/T$ fixed

$$\frac{C_{unknown}^L(\rho)}{M} \rightarrow (1 - \alpha) \log_2 \left[\left(\frac{\rho}{e}\right) \cdot \left(1 + \frac{\alpha}{\alpha_1}\right)^{\frac{-1+\alpha_1}{1-\alpha}} \cdot 2^{\frac{\alpha_1 k(\frac{\alpha}{\alpha_1})}{(1-\alpha) \ln 2}} \right]$$

noncoherent capacity: $\frac{C_{M,M}(\rho)}{M} \rightarrow (1 - \alpha) \log_2 \left[\left(\frac{\rho}{e}\right) \cdot 2^{\frac{k(\alpha)}{(1-\alpha) \ln 2}} \right]$

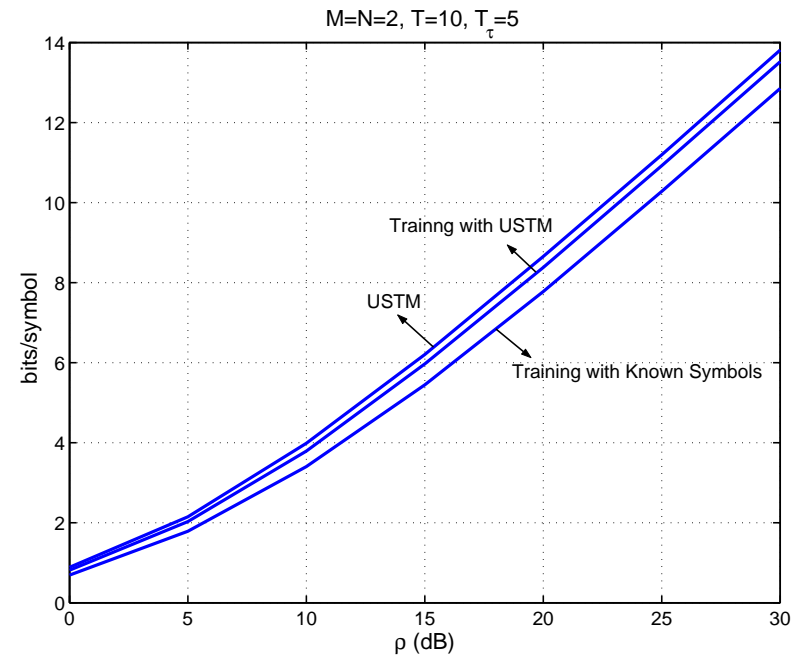
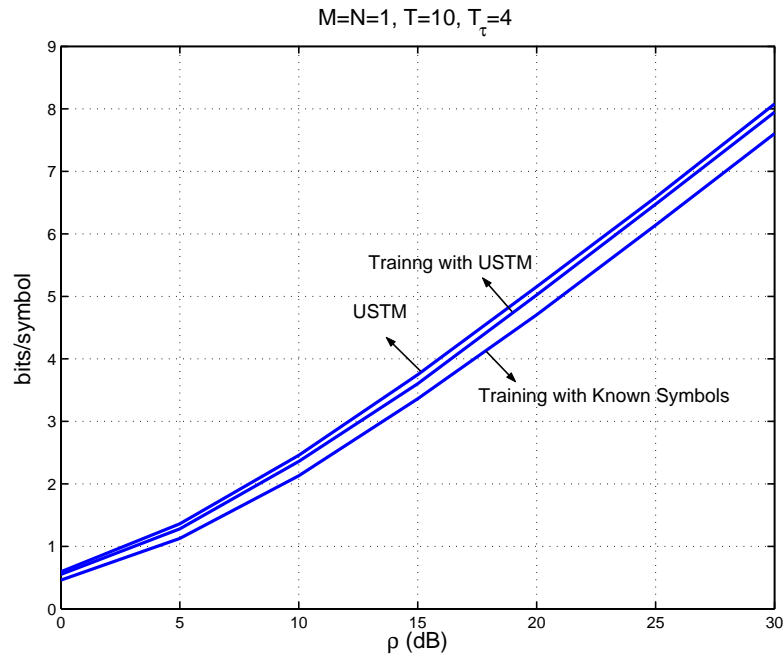
Asymptotic SNR Loss of Training with USTM Symbols

$$\rho'_{loss}(\alpha, \alpha_1) = \left(1 + \frac{\alpha}{\alpha_1}\right)^{\frac{1-\alpha_1}{1-\alpha}} \cdot 2^{\frac{k(\alpha) - \alpha_1 k(\frac{\alpha}{\alpha_1})}{(1-\alpha) \ln 2}}$$



- For most interested (α, α_1) combinations ($\alpha > 0.05, \alpha > 0.1$), $\rho'_{loss}(\alpha, \alpha_1) < \rho_{loss}(\alpha)$
- For sufficiently small α and α_1 , $\rho'_{loss}(\alpha, \alpha_1) > \rho_{loss}(\alpha)$
benefit of power control > advantage of noncoherent training

Numerical Results



- $M = N = 1, T = 10, T_\tau = 4$ – simulation results: 1.5dB, 0.4dB,
asymptotic results: $\rho_{loss}(0.1) = 1.598\text{dB}$, $\rho'_{loss}(0.1, 0.4) = 0.438\text{dB}$
- $M = N = 2, T = 10, T_\tau = 5$ – simulation results: 1.8dB, 0.55dB,
asymptotic results: $\rho_{loss}(0.2) = 1.912\text{dB}$, $\rho'_{loss}(0.2, 0.5) = 0.580\text{dB}$

Conclusion

- Training with known pilot symbols converges to the optimal very slowly
- Training with unknown USTM symbols provides a tradeoff between complexity and performance

Thank You!