

Due Friday 04/19/13 (5pm, Xiaofan's office)

1. Consider the system:

$$\begin{aligned}\dot{x}_1 &= x_2 \\ \dot{x}_2 &= -g(k_1x_1 + k_2x_2), \quad k_1, k_2 > 0,\end{aligned}$$

where the nonlinearity  $g(\cdot)$  is such that

$$\begin{aligned}g(y)y &> 0, \quad \forall y \neq 0 \\ \lim_{|y| \rightarrow \infty} \int_0^y g(\xi) d\xi &= +\infty\end{aligned}$$

- (a) Using an appropriate Lyapunov function, show that the equilibrium  $x = 0$  is globally asymptotically stable.
- (b) Show that the saturation function  $\text{sat}(y) = \text{sign}(y) \min\{1, |y|\}$  satisfies the above assumptions for  $g(\cdot)$ . What is the exact form of your Lyapunov function for this saturation nonlinearity?
- (c) Parts (a) and (b) imply that a double integrator with a saturating actuator

$$\begin{aligned}\dot{x}_1 &= x_2 \\ \dot{x}_2 &= \text{sat}(u)\end{aligned}$$

can be stabilized with the state-feedback controller  $u = -k_1x_1 - k_2x_2$ . Design  $k_1$  and  $k_2$  to place the eigenvalues of the linearization at  $-1 \pm j$ , and simulate the resulting closed-loop system both with, and without, saturation. Compare the resulting trajectories. (Please provide plots of  $x_1(t)$  and  $x_2(t)$  rather than phase portraits.)

2. Consider the mass-spring-damper system described by

$$m\ddot{y} + \beta\dot{y} + ky = u,$$

- (a) If  $y(t)$  and  $u(t)$  are available for measurement, design a gradient algorithm to estimate constant but unknown parameters  $m$ ,  $\beta$ , and  $k$ .
- (b) Simulate your algorithm in (a) assuming that true values are  $m = 20$ ,  $\beta = 0.1$ , and  $k = 5$ . Repeat your simulation for different choices of  $u(t)$  and observe the resulting parameter convergence properties.

3. Consider the reference model:

$$\dot{y}_m = -ay_m + r(t), \quad a > 0,$$

and the plant:

$$\dot{y} = a^*y + b^*u, \quad b^* \neq 0.$$

- (a) Show that a controller of the form:

$$u = \theta_1y + \theta_2r(t)$$

with an appropriate choice of gains  $\theta_1^*$  and  $\theta_2^*$ , drives the tracking error  $e := y - y_m$  asymptotically to zero.

- (b) Now suppose  $a^*$  and  $b^*$  are unknown parameters, but the sign of  $b^*$  is known. Show that the adaptive implementation of the controller above achieves tracking when the gains are updated according to the rule:

$$\dot{\theta}_1 = -\text{sign}(b^*)\gamma_1ye, \quad \dot{\theta}_2 = -\text{sign}(b^*)\gamma_2re,$$

where  $\gamma_1 > 0$  and  $\gamma_2 > 0$ .

- (c) Provide a condition that also guarantees  $\theta_1(t) \rightarrow \theta_1^*$  and  $\theta_2(t) \rightarrow \theta_2^*$  as  $t \rightarrow \infty$ .
4. A simplified model of an axial compressor, used in jet engine control studies, is given by the following second order system

$$\begin{aligned}\dot{\phi} &= -\frac{3}{2}\phi^2 - \frac{1}{2}\phi^3 - \psi \\ \dot{\psi} &= \frac{1}{\beta^2}(\phi + 1 - u).\end{aligned}$$

This model captures the main surge instability between the mass flow and the pressure rise. Here,  $\phi$  and  $\psi$  are deviations of the mass flow and the pressure rise from their set points, the control input  $u$  is the flow through the throttle, and  $\beta$  is positive constant.

- (a) Use backstopping to obtain a control law that stabilizes the origin  $(\phi, \psi) = 0$ .
- (b) Use Sontag's Formula and the Control Lyapunov Function obtained in part (a) to obtain an alternative control law.